

**Date: October 21, 2022**

**To**  
**BSE Limited**  
**P J Towers, Dalal Street,**  
**Mumbai**

**Sub.: Submission of Practicing Company Secretary Certificate under Regulation 76 of the SEBI (Depositories and Participants) Regulations, 1996 (Scrip Code: 539006)**

Dear Sir,

With reference to the cited subject, please find the enclosed to this letter the Certificate under Regulation 76 of the SEBI (Depositories and Participants) Regulations, 1996 by a Practicing Company Secretary for the quarter ended on September 30, 2022.

Please take the said on your records.

Thanking You

**For PTC Industries Limited**

**(Alok Agarwal)**  
**Director (Quality & Technical)**

**DIN-00129260**

**Place: Lucknow**

**Encl.:** Certificate by a Practicing Company Secretary

# Mehta & Mehta

## COMPANY SECRETARIES

201-206, Shiv Smriti Chambers, 2nd Floor, 49/A, Dr. Annie Besant Road, Above Corporation Bank, Worli, Mumbai-400 018  
Tel.: +91-22-6611 9696. •E-mail: [dipti@mehta-mehta.com](mailto:dipti@mehta-mehta.com). •Visit us: [www.mehta-mehta.com](http://www.mehta-mehta.com)

AUTHORISED AGENTS FOR TRADEMARK, COPYRIGHT AND PATENT

Date: 18/10/2022

To,  
The Board of Directors,  
PTC Industries Limited  
NH-25A Sarai Shahjadi,  
Lucknow-227 101, U.P.,  
India

### CERTIFICATE

We have examined the relevant books, registers, forms, documents and papers produced before us by PTC Industries Limited (hereinafter referred as 'the Company') and Link Intime India Private Limited, its R & T Agents for issuing this certificate, in respect of **Reconciliation of Share Capital Audit as per Regulation 76** of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018. To the best of our knowledge and according to the information and explanations given to us and as shown by the records examined by us, we hereby certify the '**Reconciliation of Share Capital Audit Reports**' for the quarter ended **30<sup>th</sup> September, 2022** as per Annexure I.

For Mehta & Mehta,  
Unique Code No.: P1996MH007500  
Company Secretaries,



Partner

CS Ashwini Inamdar

UDIN : F009409D001276246



# Mehta & Mehta

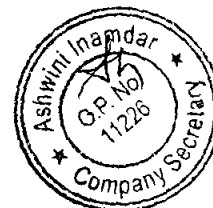
## Annexure - I

### RECONCILIATION OF SHARE CAPITAL AUDIT REPORT.

|    |  |  |
|----|--|--|
| 1. | For Quarter Ended  | 30.09.2022   |
| 2. | ISIN   | INE-596F01018  |
| 3. | Face Value   | Rs. 10/-   |
| 4. | Name of the Company  | <b>PTC Industries Limited</b>                        |
| 5. | Registered Office Address  | NH-25A Sarai Shahjadi, Lucknow, -227 101 U.P., India |
| 6. | Correspondence Address   | NH-25A Sarai Shahjadi, Lucknow-227 101 U.P., India   |
| 7. | Telephone & Fax Nos.   | Tel:- 0522-7111017<br>Fax No. 0522-7111020           |
| 8. | Email address  | <a href="mailto:ptc@ptcil.com">ptc@ptcil.com</a>     |
| 9. | Names of the Stock Exchanges where the company's securities are listed : | 1. BSE Limited                                       |

|     |   | Number of shares | % of Total Issued Capital |
|-----|---|------------------|---------------------------|
| 10. | Issued Capital                                    | 13097657         | 100.00                    |
| 11. | <b>Listed Capital</b><br>(as per company records) | 13097657         | 100.00                    |
| 12. | Held in dematerialised form in NSDL               | 10774355         | 82.26                     |
| 13. | Held in dematerialised form in CDSL               | 2141542          | 16.35                     |
| 14. | Physical  | 181760           | 1.39                      |
| 15. | Total No. of shares (12+13+14)                    | 13097657         | 100.00                    |

|     |  |     |
|-----|--|-----|
| 16. | Reasons for difference if any, between (10&11) , (10&15) , (11&15) : | NIL |
|-----|--|-----|



# Mehta & Mehta

## 17. Certifying the details of changes in share capital during the quarter under consideration as per Table below : Yes

| Particulars  | No. of shares | Applied / Not Applied for listing | Listed on Stock Exchanges | Whether intimated to CDSL | Whether intimated to NSDL | Is In-prin. appr. pending for SE |
|--------------|---------------|-----------------------------------|---------------------------|---------------------------|---------------------------|----------------------------------|
| Rights Issue | 78,58,594     | Yes                               | Yes                       | Yes                       | Yes                       | No                               |

\*\*\* Rights, Bonus, Preferential Issue, ESOPs, Amalgamation, Conversion, Buyback, Capital Reduction, Forfeiture, Any other (to specify).

|     |  |     |
|-----|--|-----|
| 18. | Register of Members is updated (Yes / No)<br>If not, updated upto which date   | Yes |
| 19. | Reference of previous quarter with regards to excess dematerialized shares, if any.  | NIL |
| 20. | Has the company resolved the matter mentioned in point no.19 above in the current quarter? If not, reason why?   | N.A |
| 21. | Mentioned the total no. of requests, if any, confirmed after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay : |     |

| Total No. of demat requests   | No. of requests | No. of shares | Reasons for delay |
|-------------------------------|-----------------|---------------|-------------------|
| Confirmed after 21 Days       | 0               | 0             | N.A.              |
| Pending for more than 21 days | 0               | 0             | N.A.              |

|     |  |  |
|-----|--|--|
| 22. | Name, Telephone & Fax No. of the Compliance Officer of the Co.   | Mrs. Pragati Gupta Agarwal<br>(Company secretary and compliance officer)<br>0522-7111017, 0522-7111020   |
| 23. | Name, Address, Tel. & Fax No., Regn. no. of the Auditor  | <b>Mehta &amp; Mehta,</b><br>201-206, Shiv Smriti Chambers, 2nd Floor, 49/A, Dr. Annie Besant Road, Above Corporation Bank, Worli, Mumbai-400 018,<br>TEL No. :- (022) 6611 9696<br>CS Jagdish Patel - FCS 2613; Contact No. 9324545141<br>CS Atul Mehta - FCS 5782; Contact No. 9820223978<br>CS Monali Bhandari - ACS 27091; Contact No. 9320887217<br>CS Ashwini Inamdar FCS 9409; Contact No. 8888826240 |
| 24. | Appointment of common agency for share registry work if yes (name & address)   | YES<br>Link Intime India Private Limited<br>C-101, 1st Floor, 247 Park, Lal Bahadur Shastri Marg, Vikhroli (West) Mumbai 400083, Maharashtra.<br>SEBI Registration No.- INR000004058<br>Contact No.- 022-49186270; Fax No.- 022-49186060<br>Email id- rnt.helpdesk@linkintime.co.in; www.linkintime.co.in  |
| 25. | Any other detail that the auditor may like to provide. (e.g. BIFR company, delisting from SE, company changed its name etc.) | NO   |

**For Mehta & Mehta,**  
Unique Code No.: P1996MH00750  
Company Secretaries,

*Ashwini Inamdar*

Partner

CS Ashwini Inamdar

UDIN: F009409D001276246

Date: October 18, 2022

